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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Application Number 10/765,430

Filling Date January 26, 2004

First Named Inventor Guillermo J. Tearney

Art Unit 3737

Examiner Name To be assigned

Attorney Docket Number 036140/US - 475387-00020

Total Number of Pages in This Submissi	on O36140	/US - 475387-00020						
ENCLOSURES (Check all that apply)								
Fee Transmittal Form Fee Attached	Drawing(s)  Licensing-related Papers	After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences						
Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application  Reply to Missing Parts under 37 CFR 1.52 or 1	Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Landscape Table on CD  Remarks	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):  PTO-1449 form with references and Return Receipt postcard						
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Date May 30, 2007	Reg. No.	Reg. No. 40,479						
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Date May 30, 2007

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036140/US - 475387-00020 PATENT

VIEW NITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Guillermo J. Tearney et al.

Serial No. : 10/765,430

Filed: January 26, 2004

Entitled : SYSTEM AND METHOD FOR IDENTIFYING TISSUE USING

LOW-COHERENCE INTERFEROMETRY

Group Art Unit : 3737

Examiner : To be assigned

Confirmation No. : 1546

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 I hereby certify that this document is being sent via First Class U. S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22213-1450 on this day of May 30, 2007.

(Signature)

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449, and respectfully request that the listed documents be considered by the Examiner and made of record in the above-captioned application. Copies of the United States patent references listed on the Form PTO-1449 are not enclosed, but the foreign and non-patent references are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under

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United States law, applicants reserve the right to present to the Office the relevant facts and law

regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the

patentability of the disclosed invention over the listed documents, should the documents be

applied against the claims of the present application.

This submission is being filed before any action by the U.S. Patent and

Trademark Office on the merits. Therefore, applicants do not believe that any fee is due in

connection with the submission of this paper. However, if any fee is due, or if any overpayment

has been made, the Commissioner is authorized to charge any such fee or credit any

overpayment, to our Deposit Account No. 50-2054.

Respectfully submitted,

DORSEY & WHITNEY, LLP

May 30, 2007

Gary Abelev

PTO Reg. No. 40,479

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4848-5070-3104\1

Form	<b>PTO-14</b>	49 U.S	. Departr	nent of C	Commerce
(REV	. 2-82)	Patent	and Tra	demark	Office

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Atty. Docket No. 036140/US - 475387-00020 10/765,430

## INFORMATION DISCLOSURE STATEMENT **BY APPLICANT**

everal sheets if necessary)

Applicant(s)

Guillermo J. Tearney et al.

Filing Date January 26, 2004 Group 3737

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*Exam. Init.		Document No.							Date	Name	Class	Subclass	Filing Date if Appropriate
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	2002	0	0	8	5	2	0	9	July 4, 2002	Mittleman et al.			
		7	0	0	6	2	3	1	February 28, 2006	Ostrovsky et al.			
		6	1	3	4	0	0	3	October 17, 2000	Tearney et al.			

\*\*\*References cited in Office Action dated December 6, 2006 for U.S. Patent Application No. 10/997,789 \*\*\*\*\*References cited in Office Action dated December 18, 2006 for U.S. Patent Application No. 10/501,276

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Document No.						Date	Country	Class	SubClass	Transl Yes	ator No

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Examiner	Date Considered	

Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 2 of 5 Form PTO-1449 U.S. Department of Commerce Serial No. Atty. Docket No. (REV. 2-82) Patent and Trademark Office 036140/US - 475387-00020 10/765,430 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant(s) (Use several sheets if necessary) Guillermo J. Tearney et al. Filing Date Group January 26, 2004 3737 Zhao, Y. et al. "Three-dimensional reconstruction of in vivo blood vessels in human skin using phase-resolved optical Doppler tomography", IEEE Journal of Selected Topics in Quantum Electronics 7.6 (2001): 931-935\*\*\*\*\*\*\* Copy of Office Action dated December 18, 2006 for U.S. Patent Application No. 10/501,276 Devesa, Susan S. et al. (1998) "Changing Patterns in the Incidence of Esophegeal and Gastric Carcinoma in the United States." American Cancer Society Vol. 83, No. 10 pp. 2049-2053 Barr, H et al. (2005) "Endoscopic Therapy for Barrett's Oesophaugs" Gut Vol. 54:875-884 Johnston, Mark H.(2005) "Technology Insight: Ablative Techniques for Barrett's Esophagus – Current and Emerging Trends" www.Nature.com/clinicalpractice/gasthep Falk, Gary W. et al. (1997) "Surveillance of Patients with Barrett's Esophagus for Dysplasia and Cancer with Ballon Cytology" Gastrorenterology Vol. 112, pages 1787-1797 Sepchler, Stuart Jon. (1997) "Barrett's Esophagus: Should We Brush off this Balloning Problem?" Gastroenterology Vol 112, pages 2138-2152 Froehly, J. et al. (2003) "Multiplexed 3D Imaging Using Wavelength Encoded Spectral Interferometry: A Proof of Principle" Optics Communications Vol 222, pages 127-136 Kubba A.K. et al. (1999) "Role of p53 Assessment in Management of Barrett's Esophagus" Digestive Disease and Sciences Vol. 44, No 4. pages 659-667 Reid, Brian J. (2001) "p53 and Neoplastic Progression in Barrett's Esophagus" The American Journal of Gastroenterology Vol. 96, No 5, pages 1321-1323 Sharma, P. et al. (2003) "Magnification Chromoendoscopy for the Detection of Intestinal Metaplasia and Dysplasia in Barrett's Oesophagus" Gut Vol. 52, pages 24-27 Kuipers E.J et al. (2005) "Diagnostic and Therapeutic Endoscopy" Journal of Surgical Oncology Vol. 92, pages 203-209 Georgakoudi, Irene et al. (2001) "Fluorescence, Reflectance, and Light-Scattering Spectroscopy for Evaluating Dysplasia in Patients with Barrett's Esophagus" Gastroenterology Vol. 120, pages 1620-

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